01-14-02

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+

PTO/SB/05 (08-00) (modified)
Approved for use through 9/30/2001, OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

NEW UTILITY PATENT APPLICATION TRANSMITTAL

(only for new nonprovisional applications under

37 CFR 1.53(b))

Attorney Docket Number	20412-06364
First Named Inventor	Gregory J. Wolff et al.
Title	System and Method for Direct Multi-Modal Annotation of Objects
Express Mail Label No.	EL566205445US

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form (in duplicate)
2. ☐ Applicant claims small entity status.
   See 37 CFR 1.27
3. ☒ Specification   Total Pages [42]

   (preferred arrangement set forth below)
   - Descriptive Title of the Invention
   - Cross Reference(s) to Related Case(s)
   - Statement Regarding Fed sponsored R & D
   - Background of the Invention
   - Brief Summary of the Invention
   - Brief Description of the Drawing(s)
   - Detailed Description
   - Claim or Claims
   - Abstract of the Disclosure

4. ☒ Drawing(s) *(35 U.S.C. 113)*   Total Sheets [20]

5. Oath or Declaration
   a. ☒ New Declaration   Total Pages [3]
      (unsigned)
      ☐ Executed (original or copy)

   b. ☐ Copy from a prior application (37 CFR 1.63(d))
      (for continuation/divisional with Box 18 completed)
      i. ☐ DELETION OF INVENTOR(S)
         Signed statement attached deleting inventor(s)
         named in the prior application, see 37 CFR
         1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
9. ☐ Power of Attorney or Authorization of Agent
10. ☐ 37 CFR 3.73(b) Statement
11. ☐ Preliminary Amendment
12. ☐ Information Disclosure Statement & PTO-1449
    ☐ Copies of IDS Citation(s)
13. ☒ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
14. ☒ Return Postcard
15. ☒ Petition to Accept Color Drawings Under 37 CFR § 1.84(a)(2)
16. ☒ Color drawings: (in triplicate)
17. ☐

ADDRESS TO:

**Box Patent Application
Commissioner for Patents
Washington, D.C. 20231**

18. If a CONTINUING APPLICATION, *check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:*

☐ Continuation   ☐ Divisional   ☐ Continuation-in-part (CIP)   of prior application No: ___/_____

Prior application information: Examiner: _____   Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ *Customer Number and Bar Code Label*
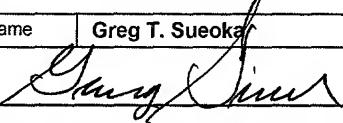
00758

Name (Print/Type)	Greg T. Sueoka	Registration No. (Attorney/Agent)	33,800
Signature	*[signature]*	Date	1/9/02

20412/06364/DOCS/1236184.1

0002/PTO(modified) Rev. 10/2001	U.S. Department of Commerce Patent and Trademark Office	Complete if Known	
		Application Number	Unassigned
		Filing Date	January 9/2002
FEE TRANSMITTAL		First Named Inventor	Gregory J. Wolff et al.
		Group Art Unit	Unassigned
TOTAL AMOUNT OF PAYMENT		Examiner Name	Unassigned
Subtotal (1) + Subtotal (2) + Subtotal (3) = **($)1668**		Attorney Docket Number	20412-06364

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to:

☐ Charge the indicated fees to the below mentioned deposit account.

☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. †

☐ Applicant claims small entity status See 37 CFR 1.27

Deposit Account Number: 19-2555
Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. ☒ Payment Enclosed:
☒ Check ☐ Credit Card ☐ Other

FEE CALCULATION (fees effective 10/01/2001)

1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/$740	201/$370	Utility Filing	740
106/$330	206/$165	Design Filing	
108/$740	208/$370	Reissue	
114/$160	214/$80	Provisional Filing	
		SUBTOTAL (1)	**($) 740**

2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/$18	203/$9	Claims in excess of 20
102/$84	202/$42	Independent claims in excess of 3
104/$280	204/$140	Multiple dependent claim
109/$84	209/$42	Reissue independent claims over original patent
110/$18	210/$9	Reissue claims in excess of 20 and over original patent

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
105/$130	205/$65	Surcharge - late filing fee or oath	
127/$50	227/$25	Surcharge-late provisional filing fee or cover sheet	
147/$2,520	147/$2,520	For filing a request for reexamination	
115/$110	215/$55	Extension for response within first month†	
116/$400	216/$200	Extension for response within second month†	
117/$920	217/$460	Extension for response within third month†	
118/$1,440	218/$720	Extension for response within fourth month†	
128/$1,960	228/$980	Extension for response within fifth month†	
119/$320	219/$160	Notice of Appeal	
141/$1,280	241/$640	Petition to revive unintentionally abandoned application	
142/$1,280	242/$640	Utility Issue Fee (Or Reissue)	
143/$460	243/$230	Design Issue Fee	
122/$130	122/$130	Petitions to the Commissioner	
126/$180	126/$180	Submission of Information Disclosure Statement	
179/$740	279/$370	Request for Continued Examination (RCE)	
581/$40	581/$40	Recording each patent assignment per property (times number of properties)	
146/$740	246/$370	Filing a submission after final rejection (37 CFR 1.129(a))	
149/$740	249/$370	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify):		**Petition to Accept Color Drawings under 37 CFR §1.84(a)(2)**	130
Other fee (specify):			
		SUBTOTAL (3)	**($)130.**

For	(Col. 1) No. of Existing Claims		(Col. 2) Highest No. Previously Paid For			(Col. 3) Extra**		Fee		Fee Due
TOTAL	41	minus*	20	or	0	=	21	x	18	=	378
INDEP	8	minus*	3	or	0	=	5	x	84	=	420
[ ] First presentation of multiple dependent claim									=	

* Subtract the greater number of Col. 2
** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) **($)798**

SUBMITTED BY			Complete (if applicable)	
Typed or Printed Name	Greg T. Sueoka		Reg. Number	33.800
Signature			Date	1/9/02

† Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby

	First Named Inventor	Gregory J. Wolff et al.
NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)	Title	System and Method for Direct Multi-Modal Annotation of Objects
	Atty Docket Number	20412-06364

I hereby certify that the invention disclosed in the attached application **has not been and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

_1/9/02_
Date

[signature]
Signature

Greg T. Sueoka, 33,800
Typed or printed name/Registration Number

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing.**

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

20412/06364/DOCS/1236249.1

IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANTS:	Gregory J. Wolff et al.
APPLICATION NO.:	not yet known
FILING DATE:	January 9, 2002
TITLE:	System and Method for Direct Multi-Modal Annotation of Objects
EXAMINER:	not yet known
GROUP ART UNIT:	not yet known
ATTY. DKT. NO.:	20412-06364

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Patent Application, Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: _____1/9/02_____   By: _____
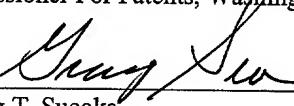
Greg T. Sueoka

BOX PATENT APPLICATION
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

PETITION TO ACCEPT COLOR DRAWINGS
## UNDER 37 CFR §1.84(a)(2)

Applicants hereby petition the United States Patent and Trademark Office to accept color drawings (Figures 11A, 11B, 11C, 11D, 11E, 11F) in the enclosed utility application. Applicants respectfully submit that the use of color drawings is necessary to a complete understanding of the invention, because the color drawings represent computer screen shots seen by an agent using the invention, the computer screen shots actually seen by the agent are in color, and color is necessary to distinguish among the many different items that are displayed on the computer screen.

Enclosed herewith are:

1) The $130.00 fee set forth in 37 CFR §1.17(h).

2) Three sets of the drawings (Figures 11A, 11B, 11C, 11D, 11E, 11F) that are in color.

3) A black and white photocopy that accurately depicts, to the extent possible, the subject matter shown in the color drawings.

4) The specification as originally filed contains the language: "The file of this patent or application contains at least one drawing executed in color. Copies of this patent or patent application publication with color drawings will be provided by the USPTO upon request and payment of the necessary fee".

Respectfully submitted,
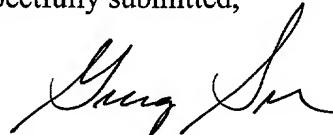
Date _____1/9/02_____

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Palo Alto, CA 94306
Tel.: (650) 858-7142